Application No.: 10/575,025 Paper Dated: July 30, 2007

PA formey Docket No.: 0115-061069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/575,025

Confirmation No.

7045

Applicants

:

Erick CARREIRA et al.

Filed

AUG 0 2 2007

:

April 7, 2006

Title

:

Novel Hypocholesterolemic Compounds

Group Art Unit

1614

Examiner

Not Yet Assigned

Customer No.

28289

Mail Stop: AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement, which includes completed Forms PTO/SB/08A and 08B and a copy of each non-US patent and non-patent literature identified therein.

This application is the United States national phase of PCT Application No. PCT/CH04/000584, filed September 15, 2004, claiming a priority date of October 7, 2003, corresponding to European Patent Application No. 03 405 719.0.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 30, 2007.

Lisa A. Miller

(Typed Name of Person Mailing Paper)

Signature

7/30/2007
Date

Application No.: 10/575,025 Paper Dated: July 30, 2007

Attorney Docket No.: 0115-061069

The relevance of "Stereochemie der Dehydratisierung und Halogenierung der

αR*- und αS*-Isomere von 3-(α-Hydroxybelzyl)-1,4-diphenyl-2-azetidinonen" and "Darstellung

und Stereochemie von 3-(α-Hydroxybenzyl)-1,4-diphenyl-2-azetidinonen" is indicated in the

International Search Report (ISR) dated November 8, 2004, a copy of which is enclosed

herewith. No English-language abstracts or translations of these two non-patent references are

readily available to Applicants. The relevance of DE 36 20 467 is also indicated in the ISR. An

English-language abstract of DE 36 20 467 is enclosed herewith.

Because this Information Disclosure Statement is being submitted before a first

Office Action on the merits, it is timely under 37 C.F.R. § 1.97(b)(3) and no fee is required.

However, the Commissioner for Patents is hereby authorized to charge any additional fees which

may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this

Information Disclosure Statement are enclosed.

Respectfully submitted,

THE WEBB LAW FIRM

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PTO/SB/08A (04-07)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/575,025			
Filing Date	April 7, 2006			
First Named Inventor	Erick Carreira			
Art Unit	1614			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	0115-061069			

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
•	1.	US- 5,306,817	04-26-1994	Thiruvengadam et al.	
	2.	^{US-} 5,631,365	05-20-1997	Rosenblum et al.	
	3.	US- 5,633,246	05-27-1997	McKittrick et al.	
	4.	^{US-} 5,756,470	05-26-1998	Yumibe et al.	
	5.	^{US-} 6,593,078	07-15-2003	Altmann et al.	
	6.	^{US-} 2003/0105028	06-05-2003	Ghosal et al.	
	7.	^{US-} 2003/0119428	06-26-2003	Davis et al.	
	8.	^{US-} 2003/0119809	06-26-2003	Davis et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ^e
	9.	DE 3620467	01-02-1987	Ciba Geigy AG		V
	10.	WO 97/16455	05-09-1997	Schering Corp.		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Use as many sheets as necessary)				First Named Inventor	Erick CARREIRA	
				Art Unit	1614	
				Examiner Name	Not Yet Assigned	
Shee	t 2	of	3	Attorney Docket Number	0115-061069	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	11.	MUKERJEE, ARYA K. et al., "Rapid Synthesis of Dehydropeptides Carrying a β Lactam Moiety," J. Chem. Research (S), 1993, pp. 280-81, No. 7, London, Great Britain.	
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Signature	•	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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				Application Number	10/575,025		
			CLOSURE	Filing Date	April 7, 2006		
STA	ATEMENT E	BY A	PPLICANT	First Named Inventor	Erick CARREIRA		
(Use as many sheets as necessary)				Art Unit	1614		
	(Ose as many sne		ecessary)	Examiner Name	Not Yet Assigned		
Sheet	3	of	3	Attorney Docket Number	0115-061069		

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS	
Initials*	No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
,	21.	BURNETT, DUANE A. et al., "2-Azetidinones as Inhibitors of Cholesterol Absorption," Journal of Medicinal Chemistry," June 10, 1994, pp.1733-36, Vol. 37, No. 12.	
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